

**Short Form**

Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Spouse/Partner’s Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Mailing Address\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Mailing Address (if different)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

State of Primary Residence\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_State of Primary Residence\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date of Birth(mm/dd/yyyy)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date of Birth(mm/dd/yyyy)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Occupation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Occupation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Total Annual Income Earned\_\_\_\_\_\_\_\_\_\_\_\_\_\_Total Annual Income Earned\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Tax Filing Status ꪛ Single ꗃ Married Filed Jointly ꗃ Partner/Other

Dependent Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date of Birth(mm/dd/yyyy)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Dependent Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date of Birth(mm/dd/yyyy)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Retirement Goals**

|  |  |  |
| --- | --- | --- |
| Description | Ideal | Acceptable |
| Client Retirement Age: |  |  |
| Spouse/Partner Retirement Age: |  |  |
| Retirement Spending Goal (after tax): | $ | $ |
| Estate Goal: | $ | $ |
| Decrease/Increase in Savings: | $ | $ |

**Social Security**

|  |  |  |
| --- | --- | --- |
| Description | Client | Spouse/Partner |
| Estimate Benefits: | ꪛ | ꪛ |
| Currently Collecting: | $ | $ |
| Expect to Collect: | $ | $ |
| Do not include Social Security: | ꪛ | ꪛ |

*Please choose only one Social Security option per person.*

**Life Goals**

Please indicate specific spending goals, in addition to your retirement spending goal, that you would like to include

in this investment plan. *(ex: weddings, education, travel, etc)*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Description | Annual Income | Net or Gross | Whose goal? | Start age? | End age? | Annual Increase  (0.00%-15.00%) |
|  | $ |  |  |  |  | % |
|  | $ |  |  |  |  | % |
|  | $ |  |  |  |  | % |

**Other Income**

Please list all other sources of income.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Description | Annual Income | Net or Gross | Whose income? | Start age? | End age? | Annual Increase  (0.00%-15.00%) |
|  | $ |  |  |  |  | % |
|  | $ |  |  |  |  | % |
|  | $ |  |  |  |  | % |

**Account Summary & Future Savings**

Please list the total value and account details of each financial account in which you hold an interest.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Account Name  (Name of account holder) | Account  Number | Cost Basis (Original Purchase  Price) | Current Value | Annual Contribution | Tax Status  Tax- Tax-  Taxable Deferred Exempt | | |
|  |  | $ | $ | $ | **𞠡** | **𞠡** | **𞠡** |
|  |  | $ | $ | $ | **𞠡** | **𞠡** | **𞠡** |
|  |  | $ | $ | $ | **𞠡** | **𞠡** | **𞠡** |
|  |  | $ | $ | $ | **𞠡** | **𞠡** | **𞠡** |
|  |  | $ | $ | $ | **𞠡** | **𞠡** | **𞠡** |

**Other Assets**

Please list all additional assets. *(Home, business assets, rental property, automobiles, etc)*

|  |  |  |  |
| --- | --- | --- | --- |
| Description | Current Value | Owner | Annual Increase  (0.00%-15.00%) |
|  | $ |  | % |
|  | $ |  | % |
|  | $ |  | % |
|  | $ |  | % |
|  |  |  |  |

**Other Liabilities**

Please indicate debts, mortgages, loans, etc.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Description | Liability Type (Mortgage, Loan, Other) | Current Amount | Owner | Monthly Payment | Interest Rate |
|  |  | $ |  | $ | % |
|  |  | $ |  | $ | % |
|  |  | $ |  | $ | % |
|  |  | $ |  | $ | % |
|  |  | $ |  | $ | % |

**Comments:**

|  |
| --- |
|  |